

EFD - UIT Fee Schedule

(As of January 28, 2025)

Check with the appropriate state for any updates you think might not be current or reflected here.

State	Series or Trust	Effective Period	Max Lifetime Fee	Effective Period Max Fee	Initial	Initial Filing Fee	Amendment	Amendment Fee	Renewal	Renewal Fee	Late Renewal Fee	Application of Late Renewal Fee	Renewal Oversale Fee	Termination	Termination Fee	Sales Report Required w Termination	Withdrawal	Withdrawal Fee	State Sales Report	Sales Report Fee	Oversale Fee on Final Sales Report	Increase Dollar Amount	Increase Dollar Amount Fee
Alabama	Trust	12 Months	No	\$200	Yes	\$200	Yes	None	Yes	\$200	No		None	Yes	None	No	Yes	None	Yes	None		Yes	None
Alaska	Series	365 Days	No	No	Yes	\$600	Yes	\$20 Name change amendment; No fee for other types	Yes	\$600	No			Yes	None	No	Yes	None	Yes	None		No	
Arizona																							
Arkansas	Series	12 Months	No	\$2,000	Yes	.1% of offering, min \$150, max \$2000	Yes	None	Yes	.1% of offering, min \$150, max \$2000	No		\$200 flat fee if oversale >105% offering + (.1% of amount sold minus amount offered in state). Variable fee portion subject to \$2,000 yearly max	Yes	None	Yes	Yes	None	Yes	None	\$200 flat fee + (.1% of amount sold (max \$2,000) less Initial Filing fee). Flat fee plus variable may exceed \$2,000 annual max.	Yes	.1% of increase, maximum fee \$2,000
California	Trust	12 Months	No	\$2,500	Yes	1/5 of 1% or .002 * SEC offering plus \$200 not to exceed \$2,500	No		Yes	1/5 of 1% or .002 * SEC offering plus \$200 not to exceed \$2,500	No			Yes	None		Yes	None	No		Yes	1/5 of 1% or .002 * SEC offering plus \$200 not to exceed \$2,500	
Colorado	Series	12 Months	No	No	Yes	\$125	Yes	None	Yes	\$125	No			Yes	None	No	Yes	None	Yes	None		Yes	None
Connecticut	Series	1 year	\$500	\$500	Yes	\$500	Yes	None	Yes	None	None			No			Yes	None	No	None		No	None
Delaware	Series	12 Months	No	\$1,000	Yes	.5% of offering, Min \$200 Max \$1,000	Yes	None	Yes	.5% of offering, Min \$200 Max \$1,000	Twice Renewal Fee, max \$1,000	Added to Renewal Fee	.5% of offering, Min \$200 Max \$1,000	Yes	None	Yes	Yes	None	Yes	None	.5%, Max \$1,000	Yes	.5% of offering, Max \$1,000
District of Columbia	Series	12 Months	No	\$1,700	Yes	\$400	Yes	None	Yes	Renewal sales report due. Net fees: Amount sold \$0-\$400K: \$0. \$400K-\$1.7M: .1% of sales. \$1,700 for \$1,700,001+.	No			Yes	Sales report due: Amount sold \$0-\$400K: \$0. \$400K-\$1.7M: .1% of sales, less \$400 credit. \$1,300 for \$1,700,001+.		Yes	None	No		No		
Florida - No filing requirement																							
Georgia	Trust	1 Year	No	No	Yes	\$250	Yes	None	Yes	\$100	No			Yes	None	No	Yes	None	Yes	None		Yes	None
Hawaii	Series	N/A	No		Yes	\$200	Yes	None	No				None	Yes	None	Yes	Yes	None	Yes	None	None	Yes	None
Idaho	Series	12 Months	No	No	Yes	\$100	Yes	None	Yes	\$100	No			Yes	None		Yes	None	No			No	
Illinois	Trust	1 Year	No	No	Yes	.05% of state offering; min \$500, max \$2,500	Yes	None	Yes	.05% of state offering; min \$500, max \$2,500	No		\$500 fee plus .05% of amount offered increase, max \$2,500	Yes	None	Yes	Yes	None	Yes	None	\$500 fee plus .05% of amount offered increase, max \$2,500	Yes	\$500 fee plus .05% of amount offered increase, max \$2,500
Indiana	Trust	12 Months	No	No	Yes	\$900	Yes	None	Yes	\$900	No			Yes	None		Yes	None	No	None		Yes	\$100
Iowa	Series	12 Months	No	No	Yes	\$400	Yes	None	Yes	\$400	No		None	Yes	None	Yes	Yes	None	Yes	None	None	Yes	None
Kansas	Series	1 Year	No	\$250	Yes	\$250	Yes	\$50 for name change. No fee for other amendment types.	Yes	\$250	No			Yes	None		Yes	None	No			No	
Kentucky	Trust	N/A	No		Yes	\$300	Yes	None	No				None	Yes	None	No	Yes	None	Yes	None		Yes	None
Louisiana	Trust	1 Year	No	\$1,000 (\$250 processing fees are additional)	Yes	.1% of offering amount (min \$100, max \$1,000), plus \$250 processing fee	Yes	\$250; No fee for address change	Yes	.1% of offering amount (min \$100, max \$1,000), plus \$250 processing fee	No			Yes	None	No	Yes	None	Yes	None		Yes	.1% of increase amount plus \$250 processing fee
Maine - Not active in EFD	Series	12 Months	No	No	Yes	\$1,000	Yes	None	Yes	\$1,000	No			Yes	None		Yes	None	No			Yes	None

State	Series or Trust	Effective Period	Max Lifetime Fee	Effective Period Max Fee	Initial	Initial Filing Fee	Amendment	Amendment Fee	Renewal	Renewal Fee	Late Renewal Fee	Application of Late Renewal Fee	Renewal Oversale Fee	Termination	Termination Fee	Sales Report Required w Termination	Withdrawal	Withdrawal Fee	State Sales Report	Sales Report Fee	Oversale Fee on Final Sales Report	Increase Dollar Amount	Increase Dollar Amount Fee
Maryland	Series	1 Year	No	\$1,800	Yes	\$500	Yes	None	Yes	\$500 Renewal fee plus either Sales report fee or \$1,300 non-calculated fee.	\$500	Add to Renewal Fee	None	Yes	See Sales Report Fee. Filer may also elect to pay a non-calculated fee of \$1,300 if timely or \$1,800 if late	Yes	Yes	None	Yes	Sales less than/equal to \$500,000, \$0; Sales between \$500,001 and \$1,500,000, .1% of amount sold, less \$500; Sales greater than/equal to \$1,500,001, \$1,000.	None	No	N/A
Massachusetts	Series	N/A	\$750		Yes	\$750	Yes	None	No					Yes	None		Yes	None	No			No	
Michigan	Series	N/A	\$500		Yes	\$500	Yes	None	No					No			No		No			No	
Minnesota	Series	N/A	No		Yes	\$100 + 1/20th of 1% of offering amount	Yes	\$25; No Fee for Address change	Yes	1/20th of 1% of offering amount	No		\$100 + 3 * (1/20th of 1% of oversold amount)	Yes	None	No	Yes	None	Yes	None		Yes	\$25 + 1/20 of 1% of amount offered increase
Mississippi	Series	1 Year	No	No	Yes	\$1,000	Yes	None	Yes	\$300	No		None	Yes	\$50	Yes	Yes	\$50	Yes	None	None	Yes	None
Missouri	Trust with Series Data	1 Year	No	No	Yes	\$100	Yes	None	Yes	\$100	No		None	Yes	None	Yes	Yes	None	Yes	.05%, Max \$900. For Renewal and Final Sales Reports: subtract \$50 for first \$100,000	None	Yes	None
Montana	Series	364 days	No	\$1,000	Yes	\$200 flat fee for offerings up to \$100,000. Add .1% of portion of offering over \$100,000; Total fee Min is \$200, Max \$1,000.	Yes	Name change - \$50.	Yes	\$200 flat fee for offerings up to \$200,000; Add .1% for portion of any offering over \$200,000; total fee Min \$200, Max \$1,000	No		.3% * (Amount sold minus Amount offered in state). Max \$2,400. Amount is not subject to Max Effective Period fee cap.	Yes	None	Yes	Yes	None	Yes	None	.3% * (Amount sold minus Amount offered in state). Max \$2,400. Amount is not subject to Max Effective Period fee cap.	Yes	.1% of offered increase, Max \$1,000
Nebraska	Series	1 year	No	No	Yes	\$100	Yes	None	No	N/A			None	Yes	0.1% of amount sold, less \$100	Yes	Yes	None	Yes	Final Sales Report only - see Termination Fee		No	
Nevada	Series	N/A	No	No	Yes	\$300	Yes	None	No	N/A				No		No	Yes	None	No	None		Yes	None
New Hampshire	Series	N/A	\$500		Yes	\$500	Yes	None	No	N/A			None	Yes	None	No	Yes	None	Yes	None		Yes	None
New Jersey	Trust (accepts Series Data)	18 months	No	No	Yes	\$1,800	Yes	None	Yes	\$1,800	No			Yes	None	No	Yes	None	Yes	None		Yes	None
New Mexico	Series	1 Year	No	No	Yes	\$200	Yes	\$50 name change only	Yes	\$200	No			Yes	None		Yes	None	No			No	
New York	Series	4 Years	No	\$1,200	Yes	\$1,200	Yes	\$30 for name change; \$30 for address change.	Yes	\$1,200	No			Yes	\$30		No	None	No	None		Yes	None
North Carolina																							
North Dakota	Series	18 Months	No	No	Yes	Definite: .1% of first \$750,000, .05% of any amount over \$750,000 (minimum \$125). Indefinite amounts \$400.	Yes	None	Yes	Definite \$100; Indefinite \$125	No		None	Yes	None	No	Yes	None	Yes	None		Yes	.1% of first \$750,000; .2% of any amount over \$750,000
Ohio	Trust (accepts Series Data)	13 Months	No	\$1,100	Yes	\$100 plus .1% of offering. Total fee Min \$200 Max \$1,100	Yes	None	Yes	\$100 plus .1% of offering. Total fee Min \$200 Max \$1,100	.1% of offering; Min \$100, Max \$1,100	Add to Renewal Fee		Yes	None	No	Yes	None	Yes	.1% * (Amount sold minus amount offered in state)		Yes	0.1% of Amount Offered Increase, Max \$1,100
Oklahoma	Series	1 Year	No	No	Yes	\$500	Yes	\$50 - name or address change	Yes	\$500	No			No			No		No			No	
Oregon	Series	1 Year	No	\$500	Yes	\$500	Yes	None	Yes	\$500	No			Yes	None	No	Yes	None	Yes	None		Yes	\$100
Pennsylvania	Series	1 Year	No	\$4,000	Yes	If aggregate offering is \$4 million or less: .05%, minimum \$350; Between \$4 million and \$100 million: \$3,000; If over \$100 million: \$3,500. Amount offered indefinite : \$4,000.	Yes	None	Yes	If aggregate offering is \$4 million or less: .05%, minimum \$350; Between \$4 million and \$100 million: \$3,000; If over \$100 million: \$3,500. Amount offered indefinite : \$4,000.	No		3 times the difference between the amount of fees payable on securities sold and the fees	Yes	None	Yes	Yes	None	Yes	None	Yes	If aggregate offering is \$4 million or less: .05% of increase offering; Between \$4 million and \$100 million: \$3,000 less prior fees paid; If over \$100 million: \$3,500	

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