

EFD - Mutual Funds Fees Schedule					Last Updated: 10/24/2024																						
Please check with the appropriate state for any fees that may not be current or reflected here.																											
State	Trust/Fund, portfolio/series, prospectus, class	Effective Period	Max Lifetime Fee	Effective Period Max Fee	Form U2 Required	Initial Filing	Initial Filing Fee	Amendment Filing	Amendment Fee	Increase Dollar Amount Filing	Increase Dollar Amount Fee	Renewal filing	Renewal Fee	Sales report due with renewal	Late Renewal Fee	Oversales	Oversale Fee	Application of Oversale fee on Renewal	Termination Filing	Termination Fee	Sales Report Required at Termination	Withdrawal	Withdrawal Fee	State Sales Report	Sales Report Fee	Oversale Fee on Final Sales Report	Application of Oversale Fee on Final Sales Report
Alaska	Portfolio	1 Year from Submission/ Filing Date	No	No	Yes	Yes	\$600	Yes	Name change - \$20 (for Fund and Portfolio-Level Name Changes ONLY; Class-level Name Changes have no fee); no fee for other amendment types	No	N/A	Yes	\$600	No	No	No	N/A	N/A	Yes	None	No	No	N/A	Yes	None	N/A	N/A
Delaware	Portfolio	1 Year from Submission/ Filing Date	No	\$1,000	Yes	Yes	OEMF, CEMF, FAC, CT (Definite): 1/2 of 1% (.005) of amount requested. Minimum fee of \$200 authorizes \$40,000. Maximum fee of \$1,000 authorizes indefinite. OEMF, CEMF, FAC, CT (Indefinite): \$1,000 flat fee	Yes	None	OEMF, CEMF, FAC, CT (Definite): Yes OEMF, CEMF, FAC, CT (Indefinite): No	1/2 of 1% (.005) of amount requested.	Yes	OEMF, CEMF, FAC, CT (Definite): 1/2 of 1% (.005) of amount requested. Minimum fee of \$200. Maximum fee of \$1,000. OEMF, CEMF, FAC, CT (Indefinite): \$1,000 flat fee	No	No	No	None	N/A	Yes	None	No	OEMF, CEMF, FAC, CT (Definite): Yes OEMF, CEMF, FAC, CT (Indefinite): No	None	No	N/A	N/A	
Georgia	Fund with Portfolio & Class Data	12 months from Submission/ Filing Date	No	No	Yes	Yes	\$250	Yes	None	No	N/A	Yes	\$100	No	No	No	N/A	N/A	Yes	None	No	Yes	None	No	N/A	N/A	N/A
Idaho	Portfolio	1 Year from Submission/ Filing Date	No	No	Yes	Yes	\$300	Yes	None	No	N/A	Yes	\$300	No	No	No	N/A	N/A	Yes	None	No	No	N/A	No	N/A	N/A	N/A
Louisiana	Prospectus	1 Year from Submission/ Filing Date	No	Yes (\$1,000); excludes the \$250 administrative fee applied to ALL filings	Yes	Yes	1/10 of 1% (.001) of expected sales; \$100 min; \$1,000 max, plus \$250 administrative fee (the filer must pay the max to authorize as indefinite)	Yes	Only the \$250 administrative fee (Broker Dealer & Issuer Agent changes are exempt from this fee)	Yes	Maximum fee of \$1,000.	Yes	1/10 of 1% (.001) of expected sales; \$100 min; \$1,000 max, plus \$250 administrative fee (the filer must pay the max to authorize as indefinite)	No	N/A	No	N/A	N/A	Yes	If terminating a portfolio or class, but still keeping the offering - the \$250 administrative fee is still charged. If terminating the whole offering, no fee is charged.	No	Yes	None	Yes	None	No fee, but filer must file an increase dollar amount for the amount oversold & pay the \$250 administrative fee.	N/A
Massachusetts	Class	OEMF: Fund's FYE; CEMF: 1 Year	No	OEMF: No; CEMF: \$1,500	Yes	Yes	OEMF: \$2,500; CEMF: 1/20 of 1% (0.0005), \$300 min, \$1,500 max (the filer must pay the max & declare to authorize as indefinite)	Yes	None	Yes	OEMF: No; CEMF: 1/20 of 1% (0.0005), \$300 min, \$1,500 max (the filer must pay the max & declare to authorize as indefinite) previous fees that were paid during the 12-month period count towards the max	Yes	OEMF: \$1,250; CEMF: 1/20 of 1% (0.0005), \$300 min, \$1,500 max (the filer must pay the max & declare to authorize as indefinite)	No	No	Yes	None	N/A	Yes	None	No	Yes	None	Yes	None	N/A	N/A

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Montana	Portfolio	1 Year from Submission/ Filing Date	No	\$1,000	Yes	Yes	1/10 of 1% (.001) of expected sales; \$200 min; \$1,000 max (the filer must pay the max to authorize as indefinite)	Yes	Name change - \$50 (does not get applied to max effectiveness period fee); no fee for other amendment types	Yes	1/10 of 1% (.001) of expected sales; \$200 min; \$1,000 max (the filer must pay the max to authorize as indefinite); previous non-name change fees that were paid during the 12-month period count towards the max	Yes	1/10 of 1% (.001) of expected sales; \$200 min; \$1,000 max (the filer must pay the max to authorize as indefinite)	Required within 60 days of the Renewal	No	Yes	3x the 1/10% (.001) of the amount that was oversold. Oversale fee is exempt from Max Effectiveness	the Oversale fee is ADDED to the Renewal fee	Yes	None	Required within 60 days of the Termination	No	N/A	Yes	None	3x the 1/10% (.001) of the amount that was oversold. Oversale fee is exempt from Max Effectiveness	Sales Reports have no fees; ONLY the Oversale fee is charged
New Jersey	Prospectus	State's Fiscal Year End (FYE)	No	No	Yes	Yes	\$3,500	Yes	None	Yes	None	Yes	\$3,500	No	No	No	N/A	N/A	Yes	None	No	Yes	None	Yes	None	N/A	N/A
North Dakota	Class	Fund's Fiscal Year End (FYE)	No	No	No	Yes	\$400	Yes	None	No	N/A	Yes	\$125	No	No	No	N/A	N/A	Yes	None	No	Yes	None	No	N/A	N/A	N/A
Pennsylvania	Fund with Class Data	Fund's Fiscal Year End (FYE)	No	No	No	Yes	OEMF, CEMF (Indef): \$4,000 flat fee. OEMF, CEMF, FAC (Def): for offerings less than or equal to \$4M: 1/20 of 1% (.0005) of offering amount with \$350 min; for offerings greater than \$4M & less than \$100M: \$3,000 flat fee; for offerings greater than \$100M: \$3,500	Yes	None	Yes	Same as initial, less any amounts previously paid during the effectiveness period. No minimum for increases.	Yes	OEMF, CEMF (Indef): \$4,000 flat fee. OEMF, CEMF, FAC (Def): for offerings less than or equal to \$4M: 1/20 of 1% (.0005) of offering amount with \$350 min; for offerings greater than \$4M & less than \$100M: \$3,000 flat fee; for offerings greater than \$100M: \$3,500	Def: Yes Indef: No	No	Yes	3x the difference between the fee that should have been paid for all sales less the fees actually paid. \$350 minimum; \$3,000 maximum.	the Oversale fee is ADDED to the Renewal fee	Yes	None	Def: Yes Indef: No	Yes	None	Yes	None	3x the difference between the fee that should have been paid for all sales less the fees actually paid. \$350 minimum; \$3,000 maximum.	Sales Reports have no fees; ONLY the Oversale fee is charged
Puerto Rico	Class	1 Year from Submission/ Filing Date	No	\$1,500	Yes	Yes	OEMF, CEMF (Def), FAC, CT: 1/5 of 1%, \$350 min, \$1,500 max (auto-converts to Indef); OEMF, CEMF (Indef): \$1,500 flat fee	Yes	None	OEMF, CEMF (Def), FAC, CT: Yes; OEMF, CEMF (Indef): No	1/5 of 1% (.002), \$1,500 max (auto-converts to Indef);	Yes	OEMF, CEMF (Def), FAC, CT: 1/5 of 1%, \$350 min, \$1,500 max (auto-converts to Indef); OEMF, CEMF (Indef): \$1,500 flat fee	OEMF, CEMF (Def), FAC, CT: Yes; OEMF, CEMF (Indef): No	No	No	N/A	N/A	Yes	None	OEMF, CEMF (Def), FAC, CT: Yes (if the max of \$1,500 has not been paid); OEMF, CEMF (Indef): No	Yes	None	Yes	None	N/A	N/A
Rhode Island	Portfolio	1 Year from Submission/ Filing Date	No	\$1,750	Yes	Yes	OEMF (Def & Indef), CEMF (Indef), FAC (Indef): \$1,750 flat fee; CEMF (Def): 1/10 of 1% of amount offered (.001), \$300 min, \$1,750 max (auto-converts to Indef)	Yes	None	Yes (Definite CEMF Only)	CEMF (Def): 1/10 of 1% of amount offered (.001), \$1,450 max (auto-converts to Indef), credit is given for previously paid fees	OEMF (Indef), CEMF (Indef & Def), FAC (Indef): Yes; OEMF (Def): No	OEMF (Indef), CEMF (Indef), FAC (Indef): \$1,750 flat fee; CEMF (Def): 1/10 of 1% of amount offered (.001), \$300 min, \$1,750 max (auto-converts to Indef)	No	No	No	N/A	N/A	Yes	None	No	Yes	None	No	N/A	N/A	
South Carolina	Portfolio	1 Year from Submission/ Filing Date	No	No	Yes	Yes	\$546	Yes	None	No	N/A	Yes	\$546	No	No	No	N/A	N/A	Yes	None	No	Yes	None	No	N/A	N/A	N/A
Tennessee	Class	1 Year from Submission/ Filing Date	No	No	Yes	Yes	\$500	Yes	\$50 - name change; no fee for other amendment types	No	N/A	Yes	\$500	No	No	No	N/A	N/A	Yes	None	No	No	N/A	No	N/A	N/A	N/A

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Vermont	Class	1 Year from Submission/ Filing Date	No	No	Yes	Yes	\$2,275	Yes	None	No	N/A	Yes	\$2,025	No	No	No	N/A	N/A	Yes	None	No	Yes	None	No	N/A	N/A	N/A
Virginia	Fund	OEMF, FAC: 12 Months from Submission/ Filing Date; CEMF: Does Not Expire	No	No	Yes	Yes	OEMF (Def), CEMF (Def): 1/20 of 1% of amount offered (.0005), \$200 min, \$700 max (auto-converts to Indef); OEMF (Indef), CEMF (Indef): \$700 flat fee	Yes	None	No	N/A	Yes	OEMF (Def, Indef), FAC: \$300 flat fee; CEMF: No Renewal Required	No	No	No	N/A	N/A	Yes	None	No	No	N/A	No	N/A	N/A	N/A