

NASAA INVESTMENT ADVISER REPRESENTATIVE CONTINUING EDUCATION SYSTEM APPLICATION MANAGEMENT SYSTEM

PRIVACY POLICY

This NASAA Investment Adviser Representative Continuing Education System Application Management System Privacy Policy (“**IAR CE AMS Privacy Policy**”) outlines the scope of personally identifying information and computer data that NASAA collects from IAR CE AMS users and the uses we may make of this information.¹

This IAR CE AMS Privacy Policy applies to the domain <http://iarce.nasaa.org> and all subsidiary webpages. Your rights to access and use the IAR CE AMS are governed by the separate IAR CE AMS General Terms of Use and the IAR CE AMS Content Provider Terms of Use (depending upon how you use the platform).

Collection and Use of Information

The IAR CE AMS collects a certain amount of computer data from every user, and it collects certain additional personally identifying information from all registered providers of educational content (“**Content Providers**”). NASAA will not sell, market or otherwise seek to profit from any personally identifying information or computer data we collect through the IAR CE AMS.

All Users

The IAR CE AMS does not collect personally identifying information from general users. The web server running the IAR CE AMS does automatically collect certain computer data from every user, such as the user’s IP address, browser, and clickstream activity. We may analyze or aggregate this data to assess user behavior, monitor website traffic, or conduct security testing of the platform. (You can learn more about how we may collect and use your computer data in our [Data Privacy Policy](#), which applies to the IAR CE AMS and which is expressly incorporated by reference into this IAR CE AMS Privacy Policy.)

Content Providers

In addition to the collection and use of computer data applicable to all users discussed above, if you are a Content Provider you will be required to provide certain personally identifying information upon registering. We have no ability to access your Content Provider user credentials. However, we do have the ability to access other personally identifying information you enter (such as your name and contact information). We may use this information to contact you. We will also provide this information to the Financial Industry Regulatory Authority (“**FINRA**”) so FINRA can use this information as necessary (such as to provide you with access to the FINRA Gateway). In addition, we may disclose this information to others within NASAA or outside of NASAA to the extent we deem it necessary or appropriate to do so.

¹ This IAR CE AMS Privacy Policy was last updated on April 30, 2026.