Getting Started with the IAR Continuing Education (IAR CE) Requirement

What Every Investment Adviser Representative (IAR) Needs to Know

What is the IAR CE Requirement?

If you are registered as an Investment Adviser Representative (IAR) in a jurisdiction that has adopted the IAR CE requirement, you must complete 12 credits of continuing education (CE) each year to maintain your registration.

How Many Credits Do I Need?

Each year, you must complete:

- o 6 credits in Ethics and Professional Responsibility
- o 6 credits in Products and Practices.

The requirement must be broken down exactly this way. Additional credits completed in one category will be zeroed out and not counted toward the annual requirement.

Where Can I Take Courses?

You can select from a variety of approved course providers. Visit the IAR CE Approved Provider List at: Approved IAR CE Providers - NASAA.

You can choose any approved provider for your continuing education. Just make sure the courses you select are approved for IAR CE credit and fall under the correct category: Ethics and Professional Responsibility or Products and Practice.

Want to Use NASAA as a Provider?

To take IAR CE courses directly through NASAA, visit <u>NASAA Learning: Home</u>, click "Log In," then select "Create Account" and choose "Non-Member" as the account type during setup.

How Do I Track My Progress?

To self-monitor your IAR CE, review your IAR CE transcript or view your IAR CE requirement status, please login to your <u>FinPro account</u>.

Still Have Questions?

Please visit <u>NASAA's IAR CE</u> page to view all FAQs. A printable version of all IAR CE FAQs is available here.