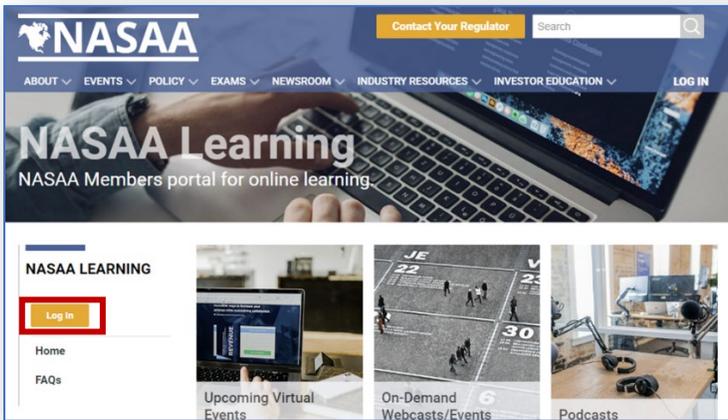


# Registration Guide for Ethics for Investment Adviser Representatives

Step 1. Visit <https://learn.nasaa.org> and click **Log In**



Step 2. Create a New Account with NASAA

The image shows the 'Sign In' form. It includes fields for Username and Password, a 'Keep me signed in' checkbox, and a 'Sign In' button. Below the form are links for 'Forgot username?' and 'Forgot password?'. The 'Create a new account' link is highlighted with a red box.

If you have credentials for [www.nasaa.org](http://www.nasaa.org), please enter them here to be redirected back to NASAA Learn, then skip to Step 3.

If you do not have credentials for [www.nasaa.org](http://www.nasaa.org), please click **Create a new account** to create a new account

The image shows the 'Create an Account' form. It includes fields for Prefix, First name, Middle name, Last name, Suffix, Organization, Email, Phone, Mobile phone, Country, and Address. Fields marked with an asterisk (\*) are highlighted in red. The 'Create Account' button is also visible.

Complete the minimum required fields marked with a \*

- Please ensure the account information entered here matches the name on your CRD record

Once the form has been submitted, you may then log into <https://learn.nasaa.org> with your new account

### Step 3. Begin Course Registration

- Use the link <https://learn.nasaa.org/products/ethics-for-investment-adviser-representatives> to access the course registration page, or search for “Ethics” from the main NASAA Learn page.
- Click **Register** to begin registration.

**SEARCH THE SITE**

Search by Category  
Any

Search by Type  
Any

Search by Keyword  
Ethics

Find

**Ethics for Investment Adviser Representatives** Register

Overview Contents (3)

This course is an overview of ethics as it relates to investment adviser representatives. It will discuss the fiduciary role of an investment adviser and provide details on how to identify and avoid conflicts of interest. This IAR CE course will grant the IAR one credit of Ethics and Professional Responsibility after successfully passing the course assessment.

- If ready, click **Complete Registration Now** to be taken to your cart for checkout.

Registration Options:

Cancel Add to Cart and Complete Registration Later

Complete Registration Now

### Step 3. Finish Course Registration

Your registrations are NOT complete until you click "Complete Registration" below.

**Your cart**

Product	Registrant	Original price	Final price
Ethics for Investment Adviser Representatives	Obiwan Kenobi <a href="#">Remove</a>	\$3	\$3
Total:		\$3	\$3

You are registering for the products above as:

Complete Registration

There is a non-refundable \$3 fee associated with filing completion results with FINRA. Review your cart, click **Complete Registration** and complete payment information

Once payment has been submitted you will be taken to the Registration Complete page.

Click **View Product** to enter the course, or access the course through your NASAA Learn dashboard

**Registration Complete**

Registered at 2022-09-08 15:20:18 [Printable Receipt](#)

Product	Registrant	Original price	Final price
Ethics for Investment Adviser Representatives	Obiwan Kenobi	\$3	\$3
Total:		\$3	\$3

View product

#### Step 4. Complete Course

- Click **View Course** to begin the training.
- You will be prompted to submit First Name, Last Name, Email, and CRD Number for the filing requirements to FINRA. If the information entered here is inaccurate, you may not receive credit.
- A quiz with 10 randomized questions is required for completion.
  - Learners must pass with 100% to receive credit.
  - There are unlimited attempts to complete the quiz.
- An optional satisfaction survey is included for course feedback

**Ethics for Investment Adviser Representatives** ✓ You are registered! [Manage Product](#)

Overview Discussion Contents (4)

Key: ✓ Complete ➔ Next ✗ Failed ★ Available 🔒 Locked

- ➔ **Investment Adviser Representative Information (all fields required to receive IAR CE Credit)**  
4 Questions  
[Fill Out Survey](#)  
[Mark as Completed](#)
- ✓ **Ethics for Investment Adviser Representatives**  
Begin self-paced component package.
- ★ **Assessment**  
10 Questions | Unlimited attempts | 10/10 points to pass
- ★ **Course Satisfaction Survey**  
5 Questions