

From: [Ken Kivenko](#)
To: [Investor Advocate](#)
Cc: [Barbara Roper](#)
Subject: Non payment of arb awards
Date: Tuesday, October 05, 2021 4:05:50 PM
Attachments: [Request-For-Public-Comment-Unpaid-Arbitration-Awards-Model-Rules.pdf](#)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Here are some ideas

Deny renewal of drivers license or medical card until award is paid

Apply to a court for a judgement

Attempt to remove any professional designation held by the non payer

Work with other regulators to deny registration until award is paid.

Ensure credit rating agencies are made aware of refusal to pay award which is in effect a debt liability

Ken Kivenko

<https://protect2.fireeye.com/v1/url?k=895a57bc-d6c16eae-895ab30a-86b2e136ff17-5f15a559b1ccccb0&q=1&e=3868ea65-0728-488d-9579-e3f80975d1e3&u=https%3A%2F%2Fwww.nasaa.org%2Fwp-content%2Fuploads%2F2021%2F10%2FRequest-For-Public-Comment-Unpaid-Arbitration-Awards-Model-Rules.pdf>

Sent from my iPad