

# Is your financial firm asking you for a **TRUSTED CONTACT?**

There are a number of very important reasons to give your financial firm the name of someone you trust, and FINRA, NASAA and the SEC urge you to consider the request.



## WHAT IS IT?

A "trusted contact" is a person you authorize your financial firm to contact in limited circumstances.



## WHO SHOULD HAVE ONE?

We suggest a trusted contact for anyone who has an investment account.

## How would having a trusted contact help me?

Maybe your investment professional needs to get in touch with you but can't when:



You are traveling



There is a natural disaster



There is a concern about fraud



You are having a health issue



Your trusted contact **CANNOT** make trades in your account



Your trusted contact **CANNOT** make decisions about your account



Being a trusted contact **DOES NOT** make them a power of attorney, legal guardian, trustee or executor

**A trusted contact is someone who can help your financial firm help you, if needed. Add one today!**

[nasaa.org/trusted-contact](https://nasaa.org/trusted-contact)