

Policy & Government Affairs Manager/Counsel
Position Description
Updated – December 28, 2020

Summary of Position: The North American Securities Administrators Association (NASAA), a prominent Washington D.C. association, devoted to supporting the work of U.S. state and Canadian provincial securities regulators, is seeking a Policy & Government Affairs Manager/Counsel. The position will report to NASAA's Director of Policy and Government Affairs. The successful candidate will have strong government affairs skills, outstanding writing and analytical skills, a positive attitude, a firm understanding of securities regulation and financial regulation, a strong commitment to investor protection, and a desire to work in an association environment. Experience working in Congress, a financial regulatory agency, or the financial services industry, is strongly preferred. Candidates with experience working on state legislation are also strongly encouraged to apply. A relevant advanced degree is preferred, but not required. This position offers a competitive compensation package, including full medical and dental benefits; disability insurance; life insurance; and retirement fund options.

The responsibilities of the Policy & Government Affairs Manager/Counsel include:

- Serves as a senior NASAA policy representative to Congress and Congressional staff, and an occasional representative to Executive Branch for policy related matters;
- Coordinates activities of NASAA State Legislation Committee and serves as the departmental point-person and subject-matter expert in most areas of state legislative policy, including but not limited to advocacy on behalf of NASAA Model legislation;
- Conducts in-depth legislative policy analysis on matters related to the securities industry, and, under the supervision of the Director, is responsible for developing, executing, and advocating significant federal and state policy objectives and projects of NASAA;
- Represents Director and Department at various internal and external functions, including independently contributing to departmental and interdepartmental projects;
- Assists in the preparation of Congressional testimony, policy letters to Congress and/or state legislatures, legislative drafting, and similar tasks;
- Serves as a NASAA representative and liaison to variety of relevant external stakeholders, including organizations representing investors, small businesses, financial services industry, other state governmental associations, etc.
- Contributes substantially to the development of NASAA's federal and state legislative goals, including NASAA's biannual legislative agenda