



The Office of Secretary of State
Securities and Charities Division

Brad Raffensperger
Secretary of State
Commissioner of Securities

Noula Zaharis
Director

ORDER IMPLEMENTING FINGERPRINT REQUIREMENTS

The mission of the Commissioner of Securities (“Commissioner”) is to protect Georgia investors by encouraging a safe and healthy financial services sector in the State of Georgia. The Commissioner understands that COVID-19 poses potential challenges to entities and individuals in meeting certain filing requirements under the Georgia Uniform Securities Act of 2008 (“Act”). To ease the burden on entities and individuals, while maintaining investor protection, the Commissioner finds that the following Order is in the public interest.

The current outbreak of coronavirus disease 2019 (COVID-19) was first reported on December 31, 2019 in Wuhan, China. The Commissioner understands from entities and their representatives that COVID-19 may present challenges in timely meeting certain of their obligations under the Act. These entities may include U.S. companies with significant operations in the affected areas, as well as companies located in those regions. In light of this, we are issuing this Order to assist affected entities with meeting their obligations under the Act.

Pursuant to O.C.G.A. §§ 10-5-70 *et seq.*, the Commissioner may, by rule, regulation, or order, exempt, either conditionally or unconditionally, any person, security or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of the Act or any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors.

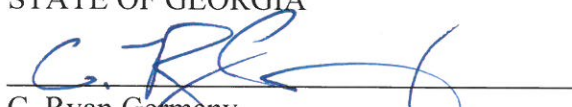
Pursuant to O.C.G.A. § 10-5-70 *et seq.*, the Commissioner hereby ORDERS that:

Subject to O.C.G.A. § 10-5-35(b)(4), where the Applicant’s fingerprints have been previously submitted for review to the Central Registration Depository (“CRD”) in connection with a federal or state licensing, the Commissioner may review and rely upon the criminal history reported pursuant thereto, and not require the Applicant to submit fingerprints directly to the Commissioner.

This Order shall be effective as of 11/2/2020 or until modified, vacated, or superseded.

BRAD RAFFENSPERGER
COMMISSIONER OF SECURITIES
STATE OF GEORGIA

BY:


C. Ryan Germany
Assistant Commissioner of Securities