

STATE OF NEW JERSEY
BUREAU OF SECURITIES
P.O. Box 47029
Newark, New Jersey 07101
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*Temporary Relief for Registrants Affected by
the COVID-19 Outbreak*

**EMERGENCY ORDER
No. 2020-02**

WHEREAS, the Chief of the New Jersey Bureau of Securities (“Bureau”) is charged with the administration of the Uniform Securities Law (1997), N.J.S.A. 49:3-47 to -89 (“Securities Law”);

WHEREAS, N.J.S.A. 49:3-67(a) provides, in part, that, “[t]he bureau chief may from time to time make, amend and rescind such rules, forms, and orders as are reasonably necessary to carry out the provisions of this law....”;


WHEREAS, there have been, and continue to be, disruptions and dislocations affecting broker-dealers, state registered investment advisers, federal covered investment advisers, and their registered agents or representatives caused by the COVID-19 outbreak;

WHEREAS, on March 24, 2020 the Bureau Chief entered an Emergency Order (“Emergency Order”) which, among other things, provided temporary relief for registrants affected by the COVID-19 outbreak; and

WHEREAS, the Emergency Order provided it shall remain in effect until April 30, 2020, unless extended or rescinded;

THEREFORE IT IS on this 30th day of April 2020 HEREBY ORDERED that:

The Emergency Order shall remain in effect until the last day of the Public Health Emergency declared in Executive Order No. 103 (2020), unless otherwise revoked or modified by order of the Bureau Chief.



Christopher W. Gerold
Chief, New Jersey Bureau of Securities