

**From:** [David Hedges](#)  
**To:** [NASAA Comments](#); [Linda Cena](#)  
**Subject:** [EXTERNAL] Comments for IAR CE  
**Date:** Thursday, February 20, 2020 10:29:19 AM  
**Attachments:** [Outlook-oiq4yr51.jpg](#)  
[Outlook-rnhmgdry.png](#)

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Good morning,

Last fall I was fortunate enough to attend a workshop that was provided by the N.C. Securities Division.

It lasted for a full day and was available in several locations around the state.

As a new RIA, I can tell you that the workshop was extraordinarily valuable.

It was a full day and covered lots of ground.

I would be in favor of some sort of ongoing CE that is required for IARs.

Many professional service industries that are in and around money require CE including real estate, insurance, banking, and accounting being just several of them.

An annual requirement that included some reasonable amount of CE hours would be appropriate.

Possibly something in the neighborhood of 6 -12 hours?

Thanks,  
David

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