From: js crumpton <jscgulf@icloud.com>
Sent: Wednesday, February 19, 2020 9:44 AM
To: Cena, Linda (LARA) <cenal@michigan.gov>
Cc: NC Department of the Secretary of State <jmaron@sosnc.gov>
Subject: IAR CE PROGRAM / NASAA FEEDBACK

Hi Linda,

At the suggestion of John Maron with the NC SOS Securities Division, I wanted to offer you some feedback regarding CE for IAR's.

Prior to becoming a CFP in 1994, I worked primarily with Lawyers, Physicians and Dentists much like my close friends at the Paul Gobel Group serving the MI State Bar for over 50 years. We jointly continue to support legal, medical, dental and accounting CE classes across the country because they reduce liability claims and contribute to improved prefessional services so why wouldn't we recommend CE requirements for IAR's?

I completely support such a requirement with an important caveat, professionals that hold the CFP designation are waived of additional hours. The CFP board requires me to attend 30 hours every two years to maintain my license. Most states also require real estate (BIC's) and accountants to a reduced CE requirements. As such, any new CE requirements for IAR's should permit credit or waiver for active licensees of these professional designations.

As a twice graduate of UNC at Chapel Hill, I'm a believer that education is the greatest investment in our future a person can make. Please feel free to call on me if I can be of further service.

Respectfully,

James

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