

EFD - Form D Fee Schedule

(As of January 2018)

Check with the appropriate state for any updates you feel might not be current or reflected here.

	Maximum Lifetime fee	New Notice Fee	Type Fee	Variable Calculation	Late Fee	Multi Year Discount	Amendment Notice / Non Annual Sales Fee	Renewal/ Annual Sales Report/ Amendment Fee	Renewal/ Annual Sales Report/ Amendment Late Fee	Termination Fee	Termination Late Fee	Withdrawal Fee
Alabama(AL)	\$300	\$300	Fixed	N/A	No	No	No	No	No	No	No	No
Alaska(AK)	No	\$600	Fixed	N/A	No	No	\$20 (name change only)	\$600	No	No	No	No
Arizona(AZ)												
Arkansas(AR)	\$500	\$100 - \$500	Variable	.1% of State Offering	No	No	.1% of State Offering	No	No	No	No	No
California(CA)	No	\$300	Fixed	N/A	No	No	No	No	No	No	No	No
Colorado(CO)	No	\$50	Fixed	N/A	No	No	No	No	No	No	No	No
Connecticut(CT)												
Delaware(DE)	No	\$200-\$1,000	Variable	.5% of State Offering	\$200-\$1,000; equals the base fee	No	No	No	No	No	No	No
District of Columbia(DC)	No	\$260	Fixed	N/A	No	No	No	No	No	No	No	No
Florida(FL)												
Georgia(GA)	No	\$250	Fixed	N/A	No	No	No	No	No	No	No	No
Hawaii(HI)	No	\$100	Fixed	N/A	No	No	No	No	No	No	No	No
Idaho(ID)	No	\$50	Fixed	N/A	No	No	No	No	No	No	No	No
Illinois(IL)	No	\$100	Fixed	N/A	No	No	No	\$100	No	No	No	No
Indiana(IN)	No	0	N/A	N/A	No	No	No	No	No	No	No	No
Iowa(IA)	No	\$100	Fixed	N/A	\$250	No	No	No	No	No	No	No
Kansas(KS)	No	\$250	Fixed	N/A	\$500-\$5,000 > 15 days; .01% of offering	No	No	No	No	No	No	No
Kentucky(KY)	No	\$250	Fixed	N/A	No	No	No	No	No	No	No	No
Louisiana(LA)												
Maine(ME)	No	\$300	Fixed	N/A	\$500 16-30 days	No	No	No	No	No	No	No
Maryland(MD)	No	\$100	Fixed	N/A	\$150 > 16 days	No	No	No	No	No	No	No
Massachusetts(MA)												
Michigan(MI)	\$100	\$100	Fixed	N/A	No	No	No	No	No	No	No	No
Minnesota(MN)	\$300	\$100	Fixed+Variable	.1% max \$300	No	No	.1% max \$199	.1% max \$199	No	No	No	No
Mississippi(MS)	No	\$300	Fixed	N/A	>15 days: 1% of State Offering max \$5,000	No	No	\$50	No	\$50	No	No
Missouri(MO)	No	\$100	Fixed	N/A	50	No	No	No	No	No	No	No
Montana(MT)	No	\$200	Fixed+Variable	.1% > \$100,000 max \$800	No	No	.1% > \$100,000 max \$800	.1% > \$100,000 max \$1000	No	No	No	No
Nebraska(NE)	\$200	\$200	Fixed	N/A	No	No	No	No	No	No	No	No
Nevada(NV)	No	\$500	Fixed	N/A	No	No	No	\$500	No	No	No	No

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New Hampshire(NH)	No	\$500	Fixed	N/A	\$500 16-90 days; \$1,000 91 365 days	No	No	No	No	No	No	No
New Jersey (NJ)	No	\$250	Fixed	N/A	No	No	No	No	No	No	No	No
New Mexico(NM)	No	\$350	Fixed	N/A	\$700 16-25 days; \$1050 >26 days	No	No	No	No	No	No	No
New York(NY)												
North Carolina(NC)	No	\$350	Fixed	N/A	No	No	No	No	No	No	No	No
North Dakota(ND)	No	\$100	Fixed	N/A	\$250	No	No	\$100	\$250	No	No	No
Ohio(OH)	\$100	\$100	Fixed	N/A	\$100 > 16 days	Yes-8 yrs	No	No	No	No	No	No
Oklahoma(OK)	No	\$250	Fixed	N/A	No	No	No	No	No	No	No	No
Oregon(OR)	No	\$250	Fixed	N/A	No	No	\$100 for amend. that increase the offering amt.	No	No	No	No	No
Pennsylvania(PA)	No	\$525	Fixed	N/A	No	No	No	No	No	No	No	No
Puerto Rico(PR)	No	\$350-\$1,500	Variable	.2% of State Offering	No	No	No	\$350-\$1500; .2% of Offering	No	No	No	No
Rhode Island(RI)	\$300	\$300	Fixed	N/A	No	No	No	No	No	No	No	No
South Carolina(SC)	No	\$300	Fixed	N/A	No	No	No	\$300	No	No	No	No
South Dakota(SD)	No	\$250	Fixed	N/A	\$275 > 15 days	No	No	No	No	No	No	No
Tennessee(TN)	No	\$500	Fixed	N/A	No	No	No	No	No	No	No	No
Texas(TX)	\$500	\$0-\$500	Variable	.1% of State Offering; max \$500	No	No	.1% of State Offering; max \$500	No	No	No	No	No
U.S. Virgin Islands(VI)	No	\$1,500	Fixed	N/A	\$3,000 > 16 Days	No	\$50	\$50	\$100 >10 days	\$50	\$100 >10 days	50
Utah(UT)	No	\$100	Fixed	N/A	\$500 > 16 Days	No	No	No	No	No	No	No
Vermont(VT)	No	\$600	Fixed	N/A	No	No	No	\$600	No	No	No	No
Virginia(VA)	No	\$250	Fixed	N/A	No	No	No	No	No	No	No	No
Washington(WA)	No	\$300	Fixed	N/A	No	No	No	No	No	No	No	No
West Virgina(WV)	No	\$125	Fixed	N/A	\$420-\$1,800 >16 days; 3 x (.16% of amount oversold)	No	No	No	No	No	No	No
Wisconsin(WI)	No	\$200	Fixed	N/A	\$200	No	No	No	No	No	No	No
Wyoming(WY)	No	\$200	Fixed	N/A	No	No	No	No	No	No	No	No