

North American Securities Administrators Association



Resolution

HONORING THE MEMORY OF DAPHNE D. SMITH

WHEREAS, it is with deep sorrow and loss that the North American Securities Administrators Association, Inc. (NASAA), recognizes the life and significant accomplishments of Daphne D. Smith; and

WHEREAS, Daphne D. Smith received a Juris Doctorate from the University of Baltimore School of Law and graduated from Fisk University with a bachelor's degree in Political Science; and

WHEREAS, Daphne D. Smith began her esteemed career in securities regulation in 1989 when she was appointed Chief of Securities Registration with the Securities Division of the Tennessee Department of Commerce and Insurance; and

WHEREAS, Daphne D. Smith served for 18 years as Tennessee's Assistant Securities Commissioner, and

WHEREAS, Daphne D. Smith served Tennessee and NASAA as a powerful and passionate advocate for the right of all investors to be treated with honesty, fairness and respect; and

WHEREAS, Daphne D. Smith became a noted national expert on financial literacy and investor outreach; and

WHEREAS, Daphne D. Smith was twice honored by her colleagues across North America with NASAA's highest award, the Blue Sky Cube, for her service to the Association and commitment to investor protection; and

WHEREAS, Daphne D. Smith leaves behind many beloved friends and colleagues from her NASAA family to cherish their memory of her and their time together; and now therefore,

BE IT RESOLVED by the Board of Directors of the North American Securities Administrators Association, Inc., that the NASAA Membership, honors the life and lasting memory of Daphne D. Smith, and hereby extends sincerest sympathy to her family and friends upon her untimely passing.

Dated this the 13th day of January, 2017.

A handwritten signature in black ink, appearing to read "Mike Rothman".

Mike Rothman
President

A handwritten signature in black ink, appearing to read "Shonita Bossier".

Shonita Bossier
Secretary