

SAMPLE (For Illustrative Purposes Only)



SCHEDULE OF MISCELLANEOUS ACCOUNT AND SERVICE FEES

The listed fees do not include commissions, markups, commission equivalents or advisory fees. Some of these fees may not apply to all account types. Some of these fees may be waived under certain conditions.

ACCOUNT OR SERVICE	FEE AMOUNT	FREQUENCY
ACCOUNT MAINTENANCE		
Annual Account Fee	\$X.00	Annual
Document Copies	\$X.00	Per request
Estate Services Fee/Legal Transfer Fee	\$X.00	Per position reregistered
Express/Overnight Mail	\$X.00	Per Request
Minimum Balance Fee	\$X.00	For accounts with a balance under \$25,000
ACAT <i>Fee for transfers facilitated by ACATS (Automated Customer Account Transfer Service)</i>	\$X.00	Per outgoing transfer
Postage and Handling	\$X.00	Per statement/confirmation sent
Termination Fee	\$X.00	Per termination
Transfer on Death	\$X.00	Per request
Retirement Account Fees		
IRA Maintenance Fee	\$X.00	Annual
Roth IRA Conversion	\$X.00	Per conversion
CASH MANAGEMENT SERVICES		
ATM/Debit Cards		
ATM Withdrawal	\$X.00	Per withdrawal at non-affiliated ATMs (other fees may be charged by the non-affiliated bank)
Additional Debit Card	\$X.00	Per card request
Checking		
Check Reorder	\$X.00	Per reorder-250 checks provided
Returned Checks for Insufficient Funds	\$X.00	Per returned check
Check Stop Payment	\$X.00	Per stop payment request
Credit Cards		
Platinum Credit Card	\$X.00	Annually
Other Cash Management Services		
Wire Transfer <ul style="list-style-type: none">• Domestic• International	\$X.00 \$X.00	Per wire request
Check Request-Overnight Delivery Fee	\$X.00	Per request
Cash Advance	\$X.00	Per request
Online Bill Payment	\$X.00	Per request
Returned ACH Payment	\$X.00	Per returned item

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ACCOUNT OR SERVICE	FEE AMOUNT	FREQUENCY
INVESTMENT SPECIFIC		
American Depository Receipts (ADR) Custody	\$X.00	Per investment
Limited Partnerships - Reregistration	\$X.00	Per transaction
Mutual Funds - Short term redemption	\$X.00	Per transaction
Physical Certificates		
• Transfer and Ship	\$X.00	Per request
• Safekeeping	\$X.00	Per security