STATE SECURITIES REGULATORS SENIOR-RELATED ENFORCEMENT ACTIVITY

(2004/2005 reporting period)

28%	26%	34%
Of All Complaints Come From Seniors	Of All Enforcement Actions Involve the Financial Exploitation of Seniors	Of All Cases of Senior Exploitation Involve Variable or Equity-Index Annuities

NOTES

For data collection purposes, seniors are defined as individuals age 50 years and above. All data are for the 2004/2005 reporting period; reflected either as calendar year 2005 or most recent fiscal year, July 1, 2004 through June 30, 2005. Totals are based on responses from 42 of 53 jurisdictions.