

NOVEMBER 5th



1:30 to 2:00 PM

North American Securities Administrators Association (NASAA)¹

Introduction of the NASAA Model Whistleblower Award and Protection Act

On August 31, 2020, NASAA members voted to adopt a Model Whistleblower Award and Protection Act, to provide monetary incentives and protections against retaliation for whistleblowers who report securities law violations. We cordially invite you to join members of NASAA's State Legislation Committee for a briefing to learn more about the Model Act.

Speakers will include:

- [Lynne Egan](#), Deputy Securities Commissioner in Montana and Chair of the NASAA State Legislation Committee
- [Faith Anderson](#), Chief of Registration & Regulatory Affairs of the Securities Division in Washington and Chair of the NASAA Whistleblower Working Group
- [Alex Glass](#), Indiana Securities Commissioner
- [Jason Sterzer](#), Acting Director of the Securities Division in Utah

A Q&A segment will follow the briefing.

Thursday, November 5, 2020
1:30 to 2:00 p.m. EST

NASAA Members Register [Here](#)
Non-Members Register [Here](#)

For additional information, email Amanda Nguyen at an@nasaa.org.

¹ The oldest international organization devoted to investor protection, NASAA was organized in 1919. Its membership consists of the securities administrators in the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Canada, and Mexico. NASAA is the voice of securities agencies responsible for grass-roots investor protection and responsible capital formation.