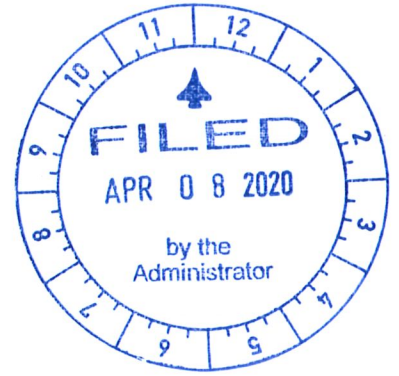


**STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102**



IN THE MATTER OF: )  
 )  
Investment Advisers Subject to )  
Regulation under the Oklahoma )  
Uniform Securities Act of 2004 )

**ORDER TEMPORARILY EXTENDING INVESTMENT ADVISER  
FILING DEADLINES**

WHEREAS, on March 17, 2020, the Governor of the State of Oklahoma issued an Executive Order pursuant to Section 2 of Article VI of the Oklahoma Constitution declaring an emergency caused by the threat of the COVID-19 virus to the people of the State of Oklahoma and the public’s peace, health, and safety;

WHEREAS, reporting requirements for investment advisers registered under the Oklahoma Uniform Securities Act of 2004 (Act), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2019) and investment advisers to qualifying private funds that are exempt from registration under the Act (collectively, the “Advisers”) include certain filing deadlines;

WHEREAS, Advisers may have been, or may continue to be, unable to meet a filing deadline due to disruptions caused by the COVID-19 pandemic; and

WHEREAS, Section 1-605 of the Act provides that the Administrator of the Department of Securities (Department) may issue an order necessary or appropriate to carry out the Act, including rules and forms governing registration statements, applications, notice filings and other records.

IT IS HEREBY ORDERED that:

1. The deadline set forth in 660:11-7-17 of the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities (“Rules”) relating to exempt investment advisers to qualifying private funds that occurs during the time period from March 17, 2020, until May 31, 2020, is tolled until July 15, 2020, unless subsequently modified, vacated, or extended by the Administrator of the Department.

2. The deadlines set forth in 660:11-7-31 of the Rules based on the fiscal year end of the registered Adviser that occur during the time period from March 17, 2020, until May 31, 2020, are tolled until July 15, 2020, unless subsequently modified, vacated, or extended by the Administrator of the Department. Any amendments required by the written instructions to the Form ADV shall continue to be made promptly, that is, no later than 30 days after learning of the facts or circumstances giving rise to the amendment.

3. This Order is retroactively effective as of March 17, 2020.

WITNESS my Hand and the Official Seal of the Oklahoma Department of Securities this 8<sup>th</sup> day of April, 2020.

(SEAL)



Melanie Hall, Administrator  
Oklahoma Department of Securities  
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