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RD estment Adviser istration Depository IARD Main F	orms Organization Accounting	© 2002, NASD. A	Il rights reserved.
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			Daily Account I	nformation screen:			
			Daily Account Queues Processed Transactions	CBD Number -	Daily Organization In	formation	
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			Daily Account Information Account Activity	Balance As Of:	4/11/2002 2:06:40 PM		
			Summary Deposit Detail Transfer Detail Transaction Detail Bill Line Search	REMINDER: Your fim's Initial D transactions to be processed. (aily Balance MUST be a CREDIT Balance Account Status: SUFFICIENT).	e (a negative amount) in order for registration	
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		IA Firm User's Manua	Ť			Chapter Thirteen: Acc	ounting
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If there is a credit balance (-) and a "Sufficient" status, you may proceed transitioning of your RAs. To transition your RAs, you will need to be on the CRD site map. Click the "Web CRD" button, on the top left corner of the IARD site map.

	Investment Adviser Registration Unnository	IARD Main Forms	Organization Accounting I	Reports	\$	REGULATION Artificities
	LOG OFF Web CRD		Site Map User I	nfo Admin Tools		
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NASD. All rights reserved.	FAQ Release Notes Recommended	Blank BDW Form U4 Form	Non-Filing Info NFI Individual Search	Current Deficiencies SFG Retirement Withdraval or	Account Activity Summary Deposit Detail	Vlev Report
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From the CRD site map, click *IARD Transition Registration Search* link under Non-Filing Info under "Individual".

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	IA Firm Users Manual Chapter Eight: Transitioning Investment Adviser Representatives and Individual NFI Steps for Creating a Transition Filing for an Existing Investment Adviser Representative
	(RA): Access IARD Transition Registration There are two ways to access IARD Transition Registration: 1. From the CRD Site Map, click the IARD Transition Registration Search hyperlink. Site Map User Info Admin Tools Non-Filing Info
	NFI Individual Search IARD Transition Registration Search

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Chapter Eight: Transitior	ning Investment Adviser Representatives and Individual NFI	Firm User's Manual

If the individual has a CRD number, type the individual's CRD number and click the "Search" button. If the person does not have a CRD number, type the person's last name, first name and/or SSN. If the individual's name appears on the *Transition Registration Search Results* screen, click on the Name link. If it does not appear, you will need to create a New Individual. See the following screen.

Transition Registration Search	 Type a comb Social Secu Birth Date a Click the Sea [Result:] The Tra that you can pag 	ination or one of the for rity Number. For a mo nd/or Number of Row arch button. ansition Registration S e through to find the in	bllowing: individual's CRD Numbe ore specific search, type a First N 's per page. <i>Tearch Results</i> screen opens prov idividual.	er, Last Name, and/or lame, Middle Name, iding a list of names				
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Type in the Employment Start Date, Office of Employment Address (physical address and check the jurisdictions in which the individual is licensed as an RA on the *NFI Transition Registration Search* screen. You may omit the Billing Code as this applies only to BD firms.

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