

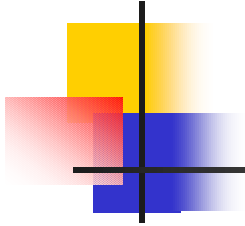
View Account Transactions

[Result:] The *Deposit Detail* screen opens.

Deposit Detail			
CRD Number : 117758	Organization Name: TRAININGSECURITIES4 (BD/IA)		
Balance AS OF: 11/15/2001 7:47:56 AM	Account Status: Sufficient		
Balance: \$ -10000000			
<p>REMINDER: Your firm's Initial Daily Balance MUST be a CREDIT Balance (a negative amount) in order for registration transactions to be processed. (Account Status: SUFFICIENT).</p>			
Account Type	CRDRG		
From Date	12/07/2001		
Through Date	01/07/2002		
Post Date	Payment Method	Payment ID	Amount
12/17/2001	CHK	W# 1/881	-\$150.00
		Deposit Amount	-\$150.00
		Number Of Deposits	1



Filing Form ADV Amendment



After checking that sufficient funds are available in your Daily Account, you are ready to file your Form ADV Amendment.

The following screens should help you in the ADV Amendment process.

IARD Main	Forms	Organization	Accounting
Info	ADV	View Organization	Daily Account Information
Broadcast Messages	<ul style="list-style-type: none"> New Filing Pending Filing Historical Filing 	<ul style="list-style-type: none"> Identifying Information Registration Status Notice Filing Status Answers to Questions 	<ul style="list-style-type: none"> Processed Transactions Funds Deficient Transactions Account Activity Summary Deposit Detail Transfer Detail Transaction Detail Bill Line Search
Forms And Conditions	ADV-W	Form of Organization	Renewal Account Information
Q	<ul style="list-style-type: none"> New Filing Pending Filing Historical Filing 	<ul style="list-style-type: none"> Business Information Assets Under Management Client Transactions Direct Owners / Executive Officers Indirect Owners Other Business Names Name Change History Other Offices Other Business Succession Custody Information Control Persons Industry Affiliations Locations of Books and Records Disclosures Filing History 	<ul style="list-style-type: none"> Account Activity Summary Deposit Detail Transfer Detail Transaction Detail Bill Line Search
Release Notes			
Recommended Hardware/Software			
Send Message to WebIARD			
Admin Tools			
Change Password			

Select ADV Filing

- New Filing**
- Pending Filing
- Historical Filing

ADV - New Filing

[Existing registrants click here for important information regarding your first IARD filing.](#)

Transition:

[Submit Transition Notice Filings](#)

[Submit Transition Registrations](#)

ADV Filing Types:

[Apply for registration as an investment adviser with the SEC](#)

[Apply for registration as an investment adviser with one or more states](#)

[Submit an annual updating amendment to your registration for your fiscal year ended](#)

[Submit an other-than-annual amendment to your registration](#)



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Back to Top

Select ADV Filing
[New Filing](#) | [Pending Filing](#) | [Historical Filing](#)

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Completeness
Check

Submit Filing

Print Preview

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Form ADV

OMB Number3235-0049

Instructions

Expires.....June 30, 2003

Item 1 - Identifying
Information

Estimated average burden hours per response9.402

Item 3 - Form of
Organization

Item 4 -
Successions

Item 5A-E -
Business
Information

Item 5F - Assets
Under Management

Item 5G-I -
Investment Advisory
Activities

Item 6 - Other
Business

Item 7 - Industry
Affiliations

Item 8 - Client
Transactions

Item 9 - Custody

Item 10 - Control
Persons

Item 10A/C Direct
Owners/Executive
Officers

Item 10B/C Indirect
Owners

Item 11 -
Disclosure
Information

Item 12 - Small
Business

Item 13 -

Privacy Act Statement

Sections 203(c) and 204 of the Advisers Act [15 U.S.C. §§ 806-3(c) and 806-4] authorize the SEC to collect the information required by Form ADV. The SEC collects the information for regulatory purposes, such as deciding whether to grant registration. Filing Form ADV is mandatory for advisers who are required to register with the SEC. The SEC maintains the information submitted on this form and makes it publicly available. The SEC may return forms that do not include required information. Intentional misstatements or omissions constitute federal criminal violations under 18 U.S.C. § 1001 and 15 U.S.C. § 80b-17.

SEC's Collection of Information

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. The Advisers Act authorizes the SEC to collect the information on Form ADV from applicants. See 15 U.S.C. §§ 80b-3(c)(1) and 80b-4. Filing the form is mandatory.

The main purpose of this form is to enable the SEC to register investment advisers. Every applicant for registration with the SEC as an adviser must file the form. See 17 C.F.R. § 275.203-1. By accepting a form, however, the SEC does not make a finding that it has been completed or submitted correctly. The form is filed annually by every adviser, no later than 90 days after the end of its fiscal year, to amend its registration. It also is filed promptly during the year to reflect material changes. See 17 C.F.R. § 275.204-1. The SEC maintains the information on the form and makes it publicly available through the IARD.

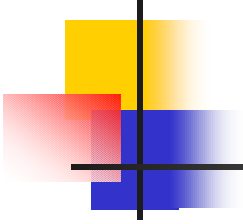
Anyone may send the SEC comments on the accuracy of the burden estimate on page 1 of the form, as well as suggestions for reducing the burden. The Office of Management and Budget has reviewed this collection of information under 44 U.S.C. § 3507.

The information contained in the form is part of a system of records subject to the Privacy Act of 1974, as amended. The SEC has published in the Federal Register the Privacy Act System of Records Notice for these records.

- [General Instructions](#)
- [Supplemental Instructions for Transition to Electronic Filing](#)
- [Specific Instructions](#)

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Please complete each section of the Form ADV by clicking the link for each section. “Save” after inputting each section. Perform a Completeness Check prior to submitting your filing. Submit the filing after printing a copy for your records.



Submission

- Completeness Check
- Submit Filing
- Print Preview

EISENHOUR ADVISERS

Reference #:26545082

Item 1 - Identifying Information

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Instruction B7.

Form ADV

Instructions

Item 1 - Identifying Information

Item 3 - Form of Organization

Item 4 - Successions

Item 5A-E - Business Information

Item 5F - Assets Under Management

Item 5G-I - Advisory Activities

Item 6 - Other Business

Item 7 - Industry Affiliations

Item 8 - Client Transactions

Responses to this Item tell us who you are, where you are doing business, and how we can contact you.

A. Your full legal name (if you are a sole proprietor, your last, first, and middle names):

EISENHOUR ADVISERS

B. Name under which you primarily conduct your advisory business, if different from Item 1A.

EISENHOUR ADVIERS

List on [Section 1.B. of Schedule D](#) any additional names under which you conduct your advisory business.

C. If this filing is reporting a change in your legal name (Item 1.A.) or Primary Business Name (Item 1B), enter the new name and specify whether the name change is of

your legal name or your primary business name:

D. If you are registered with the SEC as an investment adviser, your SEC file number:

E. If you have a number ("CRD Number") assigned by the NASD's CRD system or by the IARD system, your CRD number:
101705

If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.

F. *Principal Office and Place of Business:*

(1) Address (do not use a P.O. Box)

Number and Street 1:

9509 KEY WEST AVENUE

Number and Street 2: