



**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

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**Notice of Preproposal Statement of Inquiry Concerning Mortgage Paper Securities**

**June 1, 2009**

To Interested Persons:

As you are on our interested persons list for securities registrations and exemptions, we are writing to inform you that the Securities Division is soliciting comments on the possible amendment and addition to its rules set forth in chapter 460-33A, WAC, which provide an optional method of registration for “mortgage paper securities” as defined in WAC 460-33A-015(4).

It has been several years since the Securities Division has evaluated the rule set forth in chapter 460-33A, WAC. The Division is considering amending and/or supplementing these rules to enhance their effectiveness in providing protection to the investing public and to take into consideration the current practices of registered mortgage broker-dealers. We have not yet drafted any specific amendments or additions to these rules, but welcome any comments or suggestions you may have concerning them. We ask that you submit any comments to us by August 1, 2009 so that they may be considered prior to the publication of any proposed rules.

Please find attached a copy of the Preproposal Statement of Inquiry (Form CR-101) that was filed with the Office of the Code Reviser for publication on June 17, 2009 (Issue # 09-12).

If you have any questions, please contact the undersigned by telephone at (360) 725-7825 or by e-mail at [fanderson@dfi.wa.gov](mailto:fanderson@dfi.wa.gov).

Sincerely,

Faith L. Anderson  
Associate General Counsel