

**DEPARTMENT OF BANKING  
JOB OPPORTUNITY  
CONNECTICUT CAREERS TRAINEE  
TARGET CLASS: FINANCIAL EXAMINER  
SECURITIES DIVISION**

**[PLEASE FOLLOW THE SPECIFIC APPLICATION FILING INSTRUCTIONS AT THE BOTTOM OF THIS PAGE!](#)**

**Open To:** The Public

**Location:** Hartford

**Hours:** 1<sup>st</sup> shift

**Job Posting #:** 9020

**Starting Salary:** \$43,353 annual (Salary Group AR-15, Step 1)

(Please view the Job Specification for further salary schedules: <http://das.ct.gov/HR/JobspecNew/JobDetail.asp?FCC=6734>)

**Closing Date:** Applications must be received by 5:00 p.m. on **July 18, 2014**.

The State of Connecticut Department of Banking anticipates one opening in our Securities Division for an entry-level position in the Financial Examiner career series. Successful candidates will assist in, and be accountable for mastering the skills for conducting examinations and investigations of broker-dealers, investment advisers, and other financial entities. Candidates will also review, process, and assist in making decisions in determining the fitness of applicants for registration as broker-dealers, investment advisers, agents, and business opportunities. Candidates should have the skills necessary to apply relevant laws to determine if violations have occurred. Strong oral, written, and analytical skills are required in order to effectively communicate findings to management, financial entities, and the public. Incumbents in this class may be required to travel.

**Qualifications:**

Oral and written communication skills; ability to acquire knowledge and skills required for the target classification; ability to understand, evaluate and solve problems by exercising judgment and logic; ability to read, interpret and understand written material; ability to perform basic arithmetical computations; ability to interpret charts, graphs and tables; learning and reasoning ability; ability to establish and maintain cooperative relations with superiors, associates and general public; ability to utilize computer software.

**Eligibility Requirement:**

Candidates must have a Bachelor's or Master's degree. Preference will be given to those with degrees in Business Administration, Finance or Economics.

**Note:**

The filling of this position will be in accordance with reemployment, SEBAC, transfer, promotion and merit employment rules.

**Application Instructions:**

Interested and qualified candidates who meet the above requirements should submit a cover letter, resume, copy of college transcript(s), and State Application Form CT-HR-12 to the address shown below\*\*. A state application form CT-HR-12 may be accessed at: [http://das.ct.gov/HR/Forms/CT-HR-12\\_Application.pdf](http://das.ct.gov/HR/Forms/CT-HR-12_Application.pdf).

**DEPARTMENT OF BANKING  
HUMAN RESOURCES  
ATTN: BONNIE SCHLECHTWEG  
260 CONSTITUTION PLAZA  
HARTFORD, CT 06103**

**\*\*Incomplete or late application packages will not be considered.**

**Please do not fax or email applications. Official transcripts will be required if you accept employment.**

**AN AFFIRMATIVE ACTION/EQUAL OPPORTUNITY EMPLOYER**

The State of Connecticut is an equal opportunity/affirmative action employer and strongly encourages the applications of women, minorities, veterans, and persons with disabilities.