

Attorney Position

The North American Securities Administrators Association (NASAA), a prominent Washington D.C. association devoted to supporting the work of state and provincial securities regulators, is looking for a full-time mid-level attorney to join its Legal Department. The successful candidate will have strong legal skills, a positive attitude, background in securities regulation, a strong commitment to investor protection, and a desire to work in an association environment. Responsibilities will range from rendering advice on corporate governance matters to conducting legal and regulatory analysis in the securities field. Based in Washington, D.C., this position offers a competitive compensation package, including full medical, dental, and vision benefits; disability insurance; life insurance; and retirement fund options. To apply, send a cover letter, resume, writing sample, salary expectations, and list of references to Faye Gordon by email at fg@nasaa.org.

More About NASAA:

Organized in 1919, NASAA is the oldest international organization devoted to investor protection. Its membership consists of the securities regulators in the 50 states, the District of Columbia, the U.S. Virgin Islands, Canada, Mexico, and Puerto Rico. Those agencies protect the investing public by licensing securities firms and agents; registering securities offerings; enforcing the law; and educating the investing public about the dangers of investment fraud. NASAA supports all of these activities.

The Responsibilities of the Attorney Hire Will Include:

- Supporting the work of NASAA and its members primarily in the areas of corporation finance and broker-dealer/investment adviser regulation.
- Conducting legal research and drafting legal memoranda.
- Preparing or assisting in the preparation of comment letters in response to SEC, FINRA and MSRB rule proposals.
- Preparing or assisting in the preparation of amicus briefs.
- Assisting in the analysis of proposed state and federal legislation.
- Responding to inquiries from the Association's members and from the public.

Qualifications:

- JD degree from an accredited law school
- Active membership in good standing to a state bar (DC preferred)
- A minimum of three years relevant professional experience as an attorney
- Demonstrated interest in securities or financial services regulation
- Strong legal research, analysis, and writing skills
- Positive attitude and an ability to work as a team member
- Effective speaking skills
- Attention to detail and adaptability to varied work assignments
- A strong interest in investor protection and securities regulation